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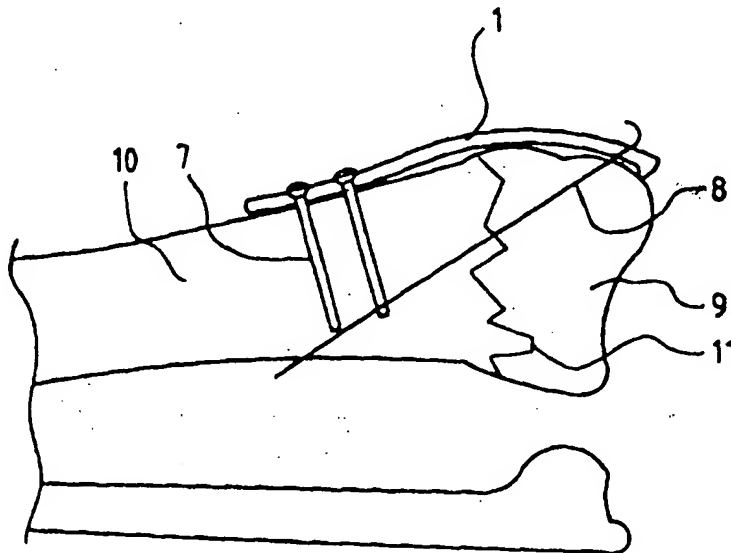
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(54) Title: PIN PLATE

(57) Abstract

The present invention relates to an implantable means for fixation of one or more fractured bone fragments (9) to a stable bone fragment (10). The means comprise a pin plate (1), which is fixed to the stable bone fragment (10) by means of one or more screws (7) or the like. To fixate the loose bone fragments (9) one or more pins (8) are passed through holes (3) in the pin plate (1), through the loose bone fragments and further into the stable bone fragment (10). The end of the pin is locked to the pin plate (1) in any suitable way. The form of the pin plate (1) is adapted to the intended site of use.



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## PIN PLATE

- 5 The present invention relates to an implantable means according to the preamble of the independent claim.

A fracture near a joint has always been difficult to treat, as the ideal treatment is to achieve rigid fixation of the fracture fragments  
10 while allowing nearly immediate motion of the joint.

In order to simplify the description the present invention is described in connection with fractures about the wrist, and particularly those fractures collectively referred to as Colles' fractures. A person  
15 skilled in the art will appreciate that the invention is also applicable in fixation of other bones. Possible other bones include, but are not limited to, the distal or lower end of the humerus, the lower tibia and the lower fibula. This requires a change of the shape of the device for each specific area, but the same principles are used irrespective  
20 of the site of the fracture. However, the major use of the invention is thought to be for fixation of Colles' fractures.

Treatment of distal radius fractures has been a problem, both because of the frequency of the injury as well as the difficulty in treating  
25 them. The goal of treatment is to restore joint congruity and anatomy, minimize the risk of arthritis, and maximize joint mobility. However, although these injuries are almost always treated on an outpatient basis, they typically result in stiffness, arthritis, and diminished function.

There are today essentially four general groups of options available for the treatment of Colles' fractures: (1) closed reduction and casting, (2) external fixation, (3) open reduction and internal fixation, and (4) percutaneous pinning and/or limited open pinning. Each method  
5 has its limitations; each has its benefits.

Closed reduction simply involves setting or aligning the broken bone manually and applying a cast to the arm. This treatment avoids any trauma associated with surgery, and is cheaper to the medical system.  
10 However, it has several disadvantages. It involves cast immobilization until healing of the bone fragments occurs; this frequently results in considerable stiffness. This stiffness is not just confined to the wrist and forearm. Immobilizing the arm in an elderly individual frequently also results in considerable stiffness to the fingers, elbow,  
15 and shoulder as well. In addition, this technique is very limited in its ability to hold all but the simplest, most stable fracture patterns in proper alignment. Unstable fractures commonly redisplace during healing, which can lead to arthritis and pain.

20 External fixation involves the application of relatively large diameter pins inserted into the finger metacarpals and into the radius above the fracture. These pin clusters are then connected with a bar or frame, essentially "bypassing" the fracture site. Typically, two pins are placed in the hand, and two pins in the radius. The frame may distract  
25 the wrist as well, in order to assist with fracture reduction, by using the soft tissue sleeve around the fracture to help squeeze the fragments into position. Although external fixation has its proponents, it has its problems. The wrist and hand are rigidly held by the frame, and the pins through the skin tend to irritate the tendons and cause scarring. These problems together cause considerable stiffness in both the  
30 wrist and the fingers; frequently the functional loss of grip can be

more disabling than the fracture. Pin site infections may also occur and compromise results. External fixation may not achieve an anatomic reduction of the fragments. Currently, external fixation is used for more severely comminuted, fragmented fractures.

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Open reduction involves making an incision over the wrist reducing the fragments, and applying plates, screws, and pins as needed. For the Colles' fracture open reduction and internal fixation is seldom used, for several reasons. First, the trauma associated with the dissection and exposure can lead to scarring of the tendons, loss of gliding, and stiffness. Second, the dissection can compromise the blood supply to the fragments, which can result in delayed unions and occasionally non-unions. Third, the fragments tend to be small and osteoporotic; drilling holes and placing screws frequently fragments these pieces further, making anatomic reduction even more difficult. Fourth, most of the fragments and displacement in the typical Colles' fracture are on the dorsal side, and the irregularity of the radius in this area together with the many tendons found near the bone on this side makes it undesirable to place plates and screws dorsally. Finally, these fractures are often comprised of numerous small pieces which must be reduced in a jigsaw puzzle type of arrangement, not easily treated by plate and screw fixation.

Percutaneous pinning involves the placement of small stiff pins, also called K-wires, across fragments of the fracture. The pins may be inserted directly through the skin while imaging the fracture with a fluoroscopy unit. Limited small incisions may also be used. Typically, pin diameters range from 0.035" to 0.062", with the 0.045" and 0.054" pin sizes commonly used in the USA. Pinning has certain advantages. Using a percutaneous or limited open technique to pin fragments allows the fracture to be internally fixed. This provides

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some additional stability internally which is not available when the fracture is treated with a cast alone. The fragments in these fractures tend to be small and the bone osteoporotic. As a result, pins are more appropriate as a type of fixation than screws in this setting. A small  
5 diameter pin has less chance of weakening the fragment and comminuting it further compared with screw holes that are made with even small diameter bone screws.

Pinning, however, has its problems. In order to secure a fragment,  
10 there must be a stable bone nearby for securing the pin. Frequently, the only stable piece of bone is the proximal fragment, which may be some distance and at a difficult angle away from the fragment to be pinned. Since the pins have a small diameter, they are likely to bend or displace if the stable piece of bone is relatively far from the  
15 fracture fragment. This reduces the ability of the pin to maintain the position of the fragment and, in turn, impedes the process of healing.

In certain cases multiple fragments are put together like stacking cards, by fixing one fragment to a stable proximal piece, and then  
20 pinning a second fragment to the first piece, which is assumed to be stabilized by the first pin. This frequently makes the entire assembly dependent upon one or two pins which may engage the stable proximal cortex at some distance from the fracture fragment. Such situations are often unstable.

25

Another problem with pinning is that the stable piece of bone that the fragment is pinned to has to be located on the opposite cortex from where the pin is inserted. If the only nearby solid piece of bone is located on the cortex adjacent to the fracture fragment, pinning be-  
30 comes a geometric impossibility. This situation occurs frequently when a dorsal ulnar fragment occurs. If the opposite volar radial surface is

fractured in such cases as is often the case, there is no stable cortex available to the angles of pin insertion that are technically feasible.

Examples of these problems with pinning are often encountered in treatment of Colles' fractures involving a radial styloid fragment fixed by a percutaneous trans-styloid pin. The fracture is reduced, and the fluoroscopy unit is used to pass a pin through the radial styloid on an angle to engage the ulnar cortex proximal to the distal fragment. The ability of the pin to hold the radial styloid fracture fragment in an appropriate position is dependent upon the fixation of the pin in the stable proximal ulnar cortex. Since the distance to this fixation site is quite far, and because the small diameter of the pin permits bending, small angular deflections of the pin in its site of purchase at the proximal fragment may lead to significant displacements of the fractured radial styloid.

Because pins have a strong tendency to bend and displace due to motion of the joint, pins are hardly ever used without casting. This means that the patient is still subjected to the common complications of stiffness and loss of function that is associated with the cast.

Ideally the treatment of distal radius fractures should have the same goal as treatment of any other fracture near a joint, namely, achieving rigid fixation of the fracture fragments while allowing nearly immediate mobility of the joint. As can be seen from this discussion, none of the current methods of treatment achieves this goal. Pins alone do not provide adequate stability by themselves and still require a cast. External fixation allows rigid fixation, but does not allow direct reduction of the fracture site, and is associated with considerable morbidity from the complications of stiffness. Closed reduction

may cause stiffness as well, and frequently fails to preserve anatomic reduction.

One primary objective of the present invention is to satisfy the goal  
5 of providing rigid fixation of fracture fragments while allowing immediate motion of a joint. This objective is satisfied using the technique disclosed in the characterizing clause of the independent claim which follows. The means according to the invention provides an implantable way of constraining by direct contact one or more pins  
10 which have been placed to secure fractured bone fragments.

Expedient embodiments of the present invention are disclosed in the dependent claims.

15 The present invention will now be described in greater detail hereinbelow, with the aid of embodiments shown in the drawings. In the accompanying drawings:

Fig. 1 is a top view of a radial pin plate according to one  
20 embodiment of the invention;

Fig. 2 is a side view of the pin plate of Fig. 1;

Fig. 3 is an end view of the pin plate of the previous Figs.;  
25

Fig. 4 is an exploded view of one embodiment of the invention;

Fig. 5 shows one embodiment of the invention fixed to the  
radius;  
30



Fig. 6 shows one embodiment of the invention fixed in an alternative location to the radius;

Fig. 7a-7c are top, side and end views, respectively, of an ulnar pin plate according to the invention.

As used herein the expression "pin" also covers wire, nail with head or headless, a thin screw, a threaded pin, pins with bent parts, pins having a head, pin with nut etc., as well as pins with small beads either cut or welded at one side. The beads are placed on the side of the shaft of the pin or at one end of the pin. The difference between "pins" and "wires" in this case is only the diameters, small diameter ones are called wires and larger diameter ones are called pins. Thus, to simplify the description the term "pin" is intended to cover all of the above and similar devices in the description hereinbelow.

Furthermore, the expression "fastening screws" is used for simplicity in the description of securing the plate to bone, but the fastening means are not limited to screws. In other embodiments pins, wires, blades, staples, brackets, or indirect coaption with another device securely attached to the stable bone fragment through holes in the plate are used.

In the embodiment of the invention shown in Figs. 1 to 5 the implant consists of a pin plate 1 having apertures 2, 3 for fastening screws 7 or pins 8, respectively. One or more of the holes 3 for receiving pins 8 is in some embodiments furnished with slots 4 for insertion of the pins 8 in a way to be described below. In some embodiments the holes 3 are chamfered to facilitate the insertion of the pins 8.

The pin plate 1 is designed to have a form adapted to the intended place of use. The general form of the radial pin plate 1 is apparent from Figs. 1 to 3. As is apparent from Figs. 4 and 5 the upper part of the radial pin plate 1 follows the form of the radial styloid and has a straight cross-section in end view. The lower part of the pin plate 1 has a semicircular cross-section in end view (Fig. 3) to match the form of the radius on the dorsal side.

Separate left and right pin plates 1 are furnished as well as pin plates with varying lengths. In the embodiment of the drawings the pins 8 have a circular cross-section. In other embodiments of the invention the pins 8 have other cross-sections, such as triangular, quadrangular, trapezoid etc. Furthermore, in some embodiments the lower part of the pins 8 have one cross-section, e.g. round, and the upper part another cross-section, e.g. quadrangular. In order to match pins 8 with different diameters various plate hole sizes are available. In one embodiment the pin plate 1 is furnished with holes 3 accepting pins 8 with different diameters. The actual dimensions to be used is decided by the surgeon in each case based on the specific circumstances such as fracture site, fragment size, bone condition etc.

Figs. 7a to 7c show one embodiment for an ulnar pin plate 1', i.e. a pin plate 1' adapted for use on the ulna. The most apparent difference between the radial pin plate 1 and the ulnar pin plate 1' is the form of the cross-section in end view. The lower part of the ulnar pin plate 1' has a straight cross-section (Fig. 7c). Apart from the somewhat different form the ulnar pin plate 1' displays the same features as the radial pin plate 1. Thus, the ulnar pin plate 1' has apertures 2', 3' and a slot 4' for cooperation with fastening screws and pins, respectively.

The rigidity of pin fixation of the fracture fragment is considerably improved by having it pass through one of the small holes 3 and possibly a tight slot 4 in the pin plate 1 which has been secured to the proximal fragment 10. After the pin 8 is placed, it can be bent  
5 over the superficial surface of the plate 1 to keep it from migrating. The pin 8 now has two point fixation, and fragment stability is greatly enhanced. In addition, the plate can serve an additional role as a buttress to the distal fragment 9.

10 The pin plate 1 is securely fixed proximally with one or more screw(s) 7, pin(s), wire(s), blade(s), staple(s) bracket(s) or indirect coaptation with another device to the stable bone fragment through holes in the plate. The plate has distally holes 3 through which the pin 8 is passed; additionally, these holes 3 may or may not have slots 4.

15 If a hole 3 with a slot 4 at the distal portion 5 of the plate 1 is used, the pin 8 will be placed first, and the plate 1 slid along the surface of the bone 10 to engage the pin 8. In one embodiment the entrance slot 4 is slightly undersized, and capable of slight widening  
20 as the pin passes through the slot. This way, the plate 1 will "snap" as the pin 8 is passed into the slot 4, preventing disengagement. Once the plate 1 is snapped over the pin 8, the pin 8 is bent to further secure it, and the plate 1 is fixed proximally with one or two screws 7 or other means of fixation, as indicated above.

25 Normally the pin, or K-wire, is placed on a high speed drill type apparatus, known as a pin driver, or it may be placed on a standard sterile operating room drill. In different embodiments the tip of the pin is either a trochar type, or narrows to a flattened region near the end  
30 which ends in a point. Some designs have a cutting type drill bit on

the end. Thus, the tip of the pin acts like a drill and allows the tip to cut through bone as it is inserted.

The fixation of the pins 8 at the pin plate 1 is accomplished in different ways in different embodiments of the invention. In one embodiment the pins 8 are bent over the superficial surface of the plate 1 as stated above. In another embodiment threaded pins 8 are used which pass through threaded holes within the plate 1. Optionally, the pins 8 are locked with locking means such as a locking nut. This provides fixation of the pin 8 in space in both a transverse plane (in the plane of the plate 1) and an axial plane (along the axis of the pin 8). This variation further allows a pin 8 to be placed which only purchases the single adjacent cortex, fixing it in space relative to the position of the plate 1.

15

In a further embodiment (not shown) the openings 3 for receiving the pins 8 are slightly undersized with a cut extending from the hole 3 to the edge of the plate 1. In this design the pin 8 is captured at the site of insertion due to the compression of the surrounding undersized hole. In this situation, a three-pointed clamp is applied to the plate to place a bending torque on the plate centered at the site of the pin hole 3; this allows the hole 3 to be enlarged or opened up slightly, enough so that it allows placement of the pin 8 through the hole 3. When the clamp is released, the hole 3 returns to its normal outer diameter, holding the pin 8.

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Alternatively, a slotted hole 3 is used which joins a slightly undersized hole 3, instead of a slightly larger hole. In this circumstance, as the pin 8 is snapped into the hole 3, it is effectively locked into place.

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In a further embodiment (not shown) the pin plate 1 is furnished with one or more threaded studs. The pin 8 is hooked around one stud and locked against the pin plate 1 with a threaded locking nut on the stud. Instead of locking the pin around the stud, the stud may have a slot  
5 for receiving the pin 8. After the pin has been placed in the slot a locking nut is screwed onto the stud to lock the pin 8 in the slot of the stud.

The focus of this device is in securing a trans-styloid radial pin 8.  
10 It makes the fixation of this fragment secure enough so that a cast is not necessary in most cases. Each plate 1 allows one or more pins 8 in the distal end. The number of pins 8 used and their angle of insertion is decided in each case by the surgeon depending on the site and size of the specific fracture or fractures. In some instances the pin 8 is  
15 inserted through the fragment to engage a stable piece of bone on the other side of the fragment. In other instances the pin is only inserted in the adjacent cortex.

In Fig. 5 a pin plate 1 according to the invention is shown in an em-  
20 bodiment for fixation of a trans-styloid pin 8.

In Fig. 6 an alternative pin plate 1 is shown with a design to match the contour of the bone at the ulnar, dorsal side of the distal radius. This pin plate 1 is intended for use when there is a need to place a  
25 pin from that side of the distal radius.

The implant of the invention has further applicability in fixation of other bones besides the radius.

30 The above detailed description has referred to but a limited number of embodiments of the present invention, but it will be readily perceived

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by a person skilled in the art that the present invention encompasses a large number of embodiments without departing from the spirit and scope of the appended claims.

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## CLAIMS

1. An implantable means for fixation of one or more fractured bone fragments (9) to a stable bone fragment (10), c h a r a c t e r -  
5 i z e d by a plate (1) secured at an end to a stable bone fragment (10) and used to constrain by direct contact (8) one or more degrees of freedom of movement of at least one pin (8) placed in a fractured bone fragment (9, 10).
- 10 2. The means of Claim 1, c h a r a c t e r i z e d in that the plate (1) constrains the motion of at least one pin (8) adjacent to a site of penetration of a bone fragment (9) by the pin (8) with or without further penetration of the same or other bone fragments by the pin (8) at other more distant locations.
- 15 3. The means of Claim 1 or 2, c h a r a c t e r i z e d in that the plate (1) has at least one opening (3) for constraining the motion of the pin (8).
- 20 4. The means of Claim 3, c h a r a c t e r i z e d in that said at least one opening for constraining the motion of the pin (8) is a through hole (3) with or without a slot (4).
- 25 5. The means of any of the preceding Claims, c h a r a c t e r - i z e d in that the motion of the pin (8) is constrained in all three planes of motion by a pin (8) secured in a slightly under-sized hole (3), a threaded pin (8) secured in a threaded hole (3) with or without a nut, a beaded pin (8), a threaded beaded pin (8) or a smooth pin (8) with a threaded end for use with a nut.

6. The means of Claim 5, characterized in that the slot (4) has a slightly undersized aperture for receiving the pin (8) with a snap function, and to allow capture of the pin (8) by the plate (1) after the pin (8) is placed in a bone fragment (9).
- 5
7. The means of any of the preceding Claims, characterized in that one or more of the holes (3) is undersized to grip the pin (8), with or without a connecting split to the edge of the plate (1) to allow widening of the hole during pin insertion.
- 10
8. The means of any of the preceding Claims, characterized in that the plate (1) has recesses or cut-outs in its surface or edge to receive the bent cut end of the pin (8) after it is placed, and that the plate (1) is of a form adapted to the place of use.
- 15
9. The means of any of the preceding Claims, characterized in that the pins (8) are replaced by wires, nails or thin screws with or without a beaded portion and in that the pins, wires or nails are threaded or smooth.
- 20
10. The means of any of the preceding Claims, characterized in that the plate is secured to the stable bone fragment (10) with one or more screws, pins, wires, blades, staples, brackets, or indirect coaptation with another device secured to the stable bone fragment (10).
- 25



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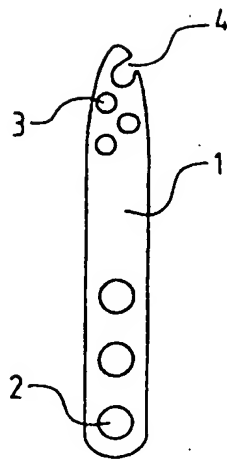


Fig. 1

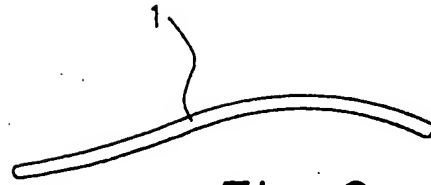


Fig. 2



fig. 3

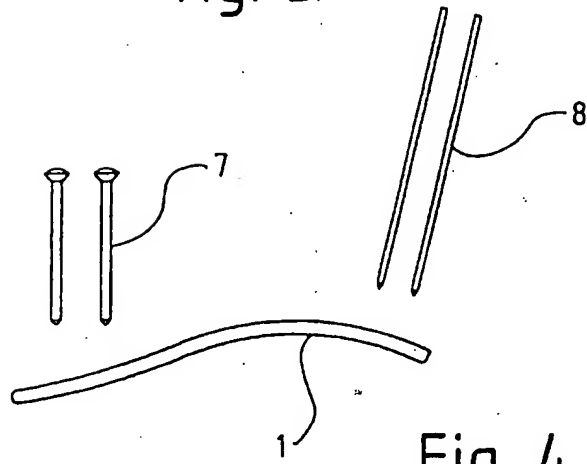


Fig. 4

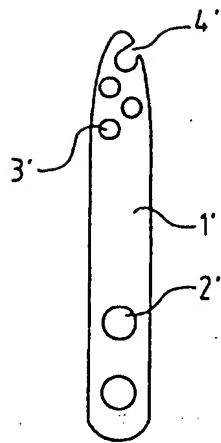


Fig. 7a

Fig. 7b

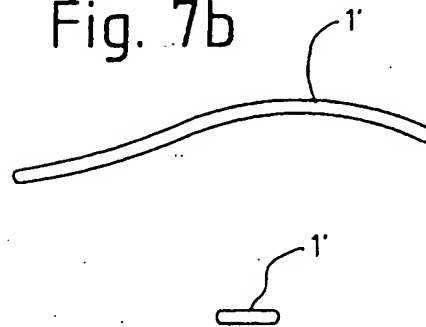


Fig. 7c

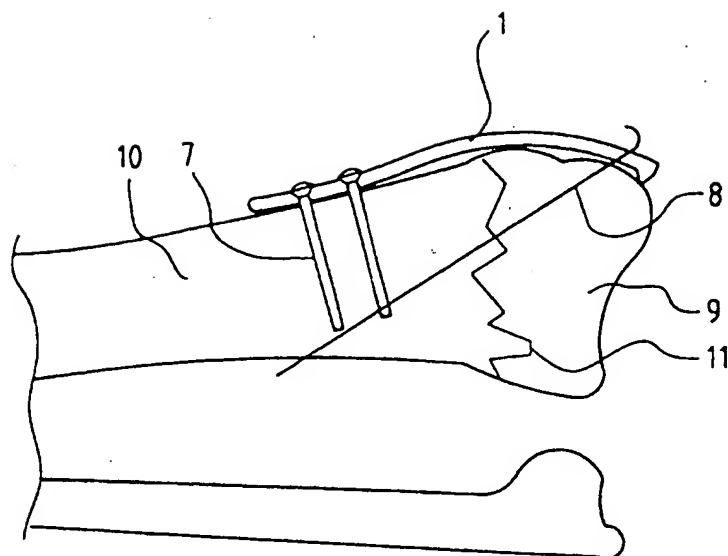


Fig. 5

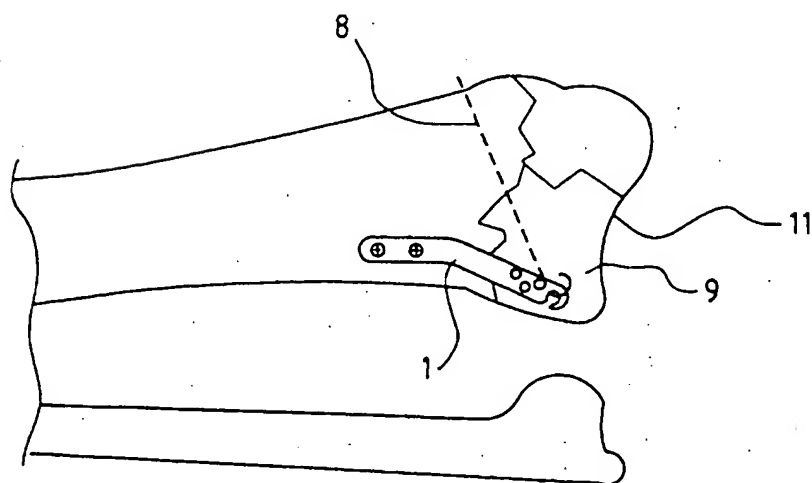


Fig. 6

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 96/00083

## A. CLASSIFICATION OF SUBJECT MATTER

IPC6: A61B 17/84

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

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Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

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C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
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